# Computer Graphics <br> - Ray-Tracing II - 

## Philipp Slusallek

## Overview

- Last lecture
- Ray tracing I
- Basic ray tracing
- What is possible?
- Recursive ray tracing algorithm
- Intersection computations
- Today
- History of intersection algorithms
- Advanced acceleration structures
- Theoretical Background
- Hierarchical Grids, kd-Trees, Octrees
- Bounding Volume Hierarchies
- Dynamic changes to scenes
- Ray bundles
- Next lecture
- Realtime ray tracing


## Theoretical Background

- Unstructured data results in (at least) linear complexity
- Every primitive could be the first one intersected
- Must test each one separately
- Coherence does not help
- Reduced complexity only through pre-sorted data
- Spatial sorting of primitives (indexing like for data base)
- Allows for efficient search strategies
- Hierarchy leads to O(log $n$ ) search complexity
- But building the hierarchy is still $\mathrm{O}(\mathrm{n} \log \mathrm{n})$
- Trade-off between run-time and building-time
- In particular for dynamic scenes
- Worst case scene is still linear !!
- It is a general problem in graphics
- Spatial indices for ray tracing
- Spatial indices for occlusion- and frustum-culling
- Sorting for transparency

Worst case RT scene:
Ray barely misses every primitive

## Ray Tracing Acceleration

- Intersect ray with all objects
- Way too expensive
- Faster intersection algorithms
- Little effect (but efficient algorithms are still necessary)
- Less intersection computations
- Space partitioning (often hierarchical)
- Grid, hierarchies of grids
- Octree
- Binary space partition (BSP) or kd-tree
- Bounding volume hierarchy (BVH)
- Directional partitioning (not very useful)
- 5D partitioning (space and direction, once a big hype)
- Close to pre-compute visibility for all points and all directions
- Tracing of continuous bundles of rays
- Exploits coherence of neighboring rays, amortize cost among them
- Cone tracing, beam tracing, ...


## Grid

- Grid
- Partitioning with equal, fixed sized „voxels"
- Building a grid structure
- Partition the bounding box (bb)
- Resolution: often ${ }^{3} \sqrt{n}$
- Inserting objects
- Trivial: insert into all voxels overlapping objects bounding box
- Easily optimized
- Traversal
- Iterate through all voxels in order
 as pierced by the ray
- Compute intersection with objects in each voxel
- Stop if intersection found in current voxel



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## Grid: Issues

- Grid traversal
- Requires enumeration of voxel along ray
$\rightarrow$ 3D-DDA, modified Bresenham (later)
- Simple and hardware-friendly
- Grid resolution
- Strongly scene dependent
- Cannot adapt to local density of objects
- Problem: „Teapot in a stadium"
- Possible solution: grids within grids $\rightarrow$ hierarchical grids
- Objects spanning multiple voxels
- Store only references to objects
- Use mailboxing to avoid multiple intersection computations
- Store object in small per-ray cache (e.g. with hashing)
- Do not intersect again if found in cache
- Original mailbox stores ray-id with each triangle
- Simple, but likely to destroy CPU caches


## Hierarchical Grids

- Simple building algorithm
- Coarse grid for entire scene
- Recursively create grids in high-density voxels
- Problem: What is the right resolution for each level?
- Advanced algorithm
- Place cluster of objects in separate grids
- Insert these grids into parent grid
- Problem: What are good clusters?



## Octree

- Hierarchical space partitioning
- Start with bounding box of entire scene
- Recursively subdivide voxels into 8 equal sub-voxels
- Subdivision criteria:
- Number of remaining primitives and maximum depth
- Result in adaptive subdivision
- Allows for large traversal steps in empty regions
- Problems
- Pretty complex traversal algorithms
- Slow to refine complex regions
- Traversal algorithms
- HERO, SMART, ...
- Or use kd-tree algorithm ...



## Bounding Volumes (BV)

- Observation
- Bound geometry with BV
- Only compute intersection if ray hits BV
- Sphere
- Very fast intersection computation
- Often inefficient because too large

- Axis-aligned box
- Very simple intersection computation (min-max)
- Sometimes too large
- Non-axis-aligned box
- A.k.a. „oriented bounding box (OBB)"
- Often better fit
- Fairly complex computation
- Slabs
- Pairs of half spaces
- Fixed number of orientations
- Addition of coordinates w/ negation

- Fairly fast computation


## Bounding Volume Hierarchies

- Idea:
- Organize bounding volumes hierarchically into new BVs
- Advantages:
- Very good adaptivity
- Efficient traversal O(log N)
- Often used in ray tracing systems
- Problems
- How to arrange BVs?

$\square=$ Bounding Volume
(W) = Objekt der Szene


## Bounding Volume Hierarchy

- Possible building strategy
- Manual
- Given by input structure (e.g. CAD system)
- Incremental insertion (top-down)
- Incremental recursive insertion
- Algorithm from Goldsmith/Salmon'87
- Cost function:
- Surface of object / BVs
- Cost for intersection with children
- Local decisions only (otherwise NP-hard)
- Evaluate cost function for three cases
- Insert as child in current BV
- Propagate to some child and recurse
- Create new BV as child and merge new object with other old children



## Bounding Volume Hierarchy



Case 2


## BSP- and Kd-Trees

- Recursive space partitioning with half-spaces
- Binary Space Partition (BSP):
- Recursively split space into halves
- Splitting with half-spaces in arbitrary position
- Often defined by existing polygons
- Often used for visibility in games ( $\rightarrow$ Doom)
- Traverse binary tree from front to back
- Kd-Tree
- Special case of BSP
- Splitting with axis-aligned half-spaces
- Defined recursively through nodes with
- Axis-flag
- Split location (1D)
- Child pointer(s)
- See separate slides for details



## Directional Partitioning

- Applications
- Useful only for rays that start from a single point
- Camera
- Point light sources
- Preprocessing of visibility
- Requires scan conversion of geometry
- For each object locate where it is visible
- Expensive and linear in \# of objects
- Generally not used for primary rays

- Variation: Light buffer
- Lazy and conservative evaluation
- Store occluder that was found in directional structure
- Test entry first for next shadow test



## Ray Classification

## - Partitioning of space and direction [Arvo \& Kirk'87]

- Roughly pre-computes visibility for the entire scene
- What is visible from each point in each direction?
- Very costly preprocessing, cheap traversal
- Improper trade-off between preprocessing and run-time
- Memory hungry, even with lazy evaluation
- Seldom used in practice



## Dynamic Scenes

- Changes to spatial indices
- In interactive context
- Very little research despite general usefulness
- Efficient dynamic data structures
- From computational geometry (i.e. kinetic data structures)
- Not realtime
- Animation with predefined motion [Glassner'88, Gröller'91, ...]
- Exclude dynamic primitives [Parker'99]
- Constant time rebuild [Reinhard'00]
- Divide and conquer [Lext'00]
- Different Types of Motion
- Hierarchical: Affine transformations for groups of primitives
- Unstructured: Arbitrary movements of primitives


## Divide \& Conquer Approach

- Observation
- 80/20 rule: Very often a simple approach is sufficient
- Building hierarchical index structures requires O(n logn)
- Divide and conquer reduces complexity
- Categorize primitives into independent groups/objects
- Static parts of a scene (often large parts of a scene)
- Structured motion (affine transformations)
- Anything else
- Select suitable approach for each group
- Do nothing
- Transform rays instead of primitives
- Only update index structure for relevant groups


## Divide \& Conquer Approach

- Two-level index structure
- Find relevant objects
- Transform ray (efficient SSE code)
- Find primitives in object
- Same kd-tree traversal algorithms in both cases
- Results in some run-time overhead



## Implementation

- KD-tree building algorithms
- Static \& structured motion
- Build once with sophisticated and slow algorithm [Havran'01]
- Optimize for traversal (as low as 1.5 intersection per ray)
- Unstructured Motion
- Will be used for single or few frames
- Balance construction and traversal time
- Allow more primitives in deeper nodes
- Top-Level:
- Significantly more efficient than for primitives
- Possible splitting planes for kd-tree are already given


## Implementation

- Index Structure Updates
- Static: Done
- Structured Motion
- Update transformation
- Schedule update of top-level index
- Unstructured Motion
- Rebuild local index and bounding box
- Schedule top-level update, iff bounding box changed
- Could be optimized with top-level hierarchy
- Not yet necessary


## Results

## - BART Kitchen

- 110,000 triangles in 5 objects, 6 lights with shadows
- Little structured motion
- 3.8 Mrays/frame resulting in 0.9 Mrays per second and CPU
- Performance (fps)

| Shading $\backslash$ CPUs | 2 | 4 | 8 | 16 | 32 |
| :--- | :--- | ---: | ---: | ---: | ---: |
| OpenGL-like | 3.2 | 6.4 | 12.8 | 25.6 | $>26$ |
| Ray Tracing | 0.47 | 0.94 | 1.88 | 3.77 | 7.55 |



## Results

## - Outdoor Terrain

- 661 objects, total of 10 Mtris
- Single point light source
- Accurate shadows between leaves
- Interactive translation of all trees
- Performance
- Update for top-level kd-tree: 4 ms



## Distribution Ray Tracing

- Formerly called Distributed Ray Tracing [Cook`84]
- Stochastic Sampling of
- Pixel: Antialiasing
- Lens: Depth-of-field
- BRDF: Glossy reflections
- Lights: Smooth shadows from area light sources
- Time: Motion blur



## Beam und Cone Tracing

- General idea:
- Trace continuous bundles of rays
- Cone Tracing:
- Approximate collection of ray with cone(s)
- Subdivide into smaller cones if necessary
- Beam Tracing:
- Exactly represent a ray bundle with pyramid
- Create new beams at intersections (polygons)
- Problems:
- Clipping of beams?
- Good approximations?
- How to compute intersections?
- Not really practical !!



## Beam Tracing



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## Packet Tracing

- Approach
- Combine many similar ray (e.g. primary or shadow rays)
- Trace them together in SIMD fashion
- All rays perform the same traversal operations
- All rays intersect the same geometry
- Exposes coherence between rays
- All rays touch similar spatial indices
- Loaded data can be reused (in registers \& cache)
- More computation per recursion step $\rightarrow$ better optimization
- Overhead
- Rays will perform unnecessary operations
- Overhead low for coherent and small set of rays (e.g. up to $4 \times 4$ rays)


## Wrap Up

- Acceleration Structures / Spatial Indices
- Necessary for sub-linear scalability (in scene size)
- Hierarchies achieve O(log n)
- Kd-trees offer
- Simple building and traversal algorithms
- Good performance for almost all scenes
- BVH are also very popular
- Dynamic changes to scenes
- Require (partial) rebuilding of index
- More research required
- Handling Ray Bundles
- Cone- and beam tracing are not very practical
- Packet tracing combines advantages with practical implementation

